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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

| Proceeding                | 91223065   |
|---------------------------|--|
| Party                     | Defendant<br>C2 Management Group LLC   |
| Correspondence<br>Address | SCOTT A. CONWELL CONWELL LAW LLC 2411 CROFTON LN STE 2A CROFTON, MD 21114-1337 scott@conwellusa.com;conwellfrontdesk@g |
| Submission                | Other Motions/Papers   |
| Filer's Name              | Scott A. Conwell   |
| Filer's e-mail            | scott@conwellusa.com   |
| Signature                 | /Scott A. Conwell/   |
| Date                      | 08/06/2015   |
| Attachments               | Mot Strike Opposition_PN TM_8-6-15.pdf(132946 bytes )  |

| 1  | BEFORE THE UNITED STATES PA  | TENT AND TRADEMARK OFFICE  |  |
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| 2  | TRADEMARK TRIAL  | AND APPEAL BOARD   |  |
| 3  |  |  |  |
| 4  | In the Matter of Trademark Application   |  |  |
| 5  | Serial No. 86/256,711  |  |  |
| 6  | Mark: PN (Stylized/Design)   |  |  |
| 7  | Class: 042   |  |  |
| 8  | Application Date: April 18, 2014   |  |  |
| 9  | Publication Date: April 7, 2015  |  |  |
| 10 |  | <b>)</b>   |  |
| 11 | PN, LLC, a Delaware limited liability  | )<br>)<br>) Opposition No. 91223065                                  |  |
| 12 | Opposer/Plaintiff,   | ) Opposition No. 91223003<br>)                                       |  |
| 13 | V.   | MOTION TO STRIKE AND/OR DISMISS OPPOSITION AND, IF                   |  |
| 14 | C2 Management Group LLC, a Maryland  | ) APPLICABLE, MOTION FOR<br>) RECONSIDERATION OF ANY EX              |  |
| 15 | limited liability company,   | ) PARTE ORDER GRANTING A ) LENGTHY EXTENSION OF TIME                 |  |
| 16 | Applicant/Defendant.   | TO FILE OPPOSITION   |  |
| 17 |  | ,  |  |
| 18 |  |  |  |
| 19 | Pursuant to 37 C.F.R. § 2.101(a) and   | § 2.101(c), Applicant moves to strike                                |  |
| 20 | and/or Dismiss the Opposition filed by PN, I   | LLC <sup>1</sup> as for lack of jurisdiction based upon              |  |
| 21 |  |  |  |
| 22 | "Opposer, PN, LLC, a Delaware limited liabilit   | y company having a place of business at #171,                        |  |
| 23 | 102 NE 2nd Street, Boca Raton, Florida 33432,"<br>Niedermeyer. Paul Niedermeyer primarily does   | business under the PAUL.COM (Reg. No.                                |  |
| 24 | 4,546,055) and PAUL DOT COM (Reg. No. 4,5 for his computer consulting work. Although not   | directly at issue in this Motion to Strike,                          |  |
| 25 | Opposer falsely stated the nature of services and dates he provided services doing business as PN, as Mr. Niedermeyer has consistently stated that PN is for "Management Consulting," that |  |  |
| 26 | "PN provides strategic, financial, marketing leadership and advisory services," and that "With PN, he [Paul Niedermeyer] serves as a management consultant and business advisor to venture |  |  |
| 27 |  | MOTION TO STRIKE AND/OR DISMISS OPPOSITION                           |  |
| 28 |  | AND, IF APPLICABLE, MOTION FOR RECONSIDERATION OF ANY EX PARTE ORDER |  |

RECONSIDERATION OF ANY EX PARTE ORDER GRANTING A LENGTHY EXTENSION OF TIME TO

FILE OPPOSITION Opposition No. 91223065

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the filing date of the Opposition, the Notice of Publication of the Applicant's mark, the Trademark Act, the federal regulations and Trademark Rules. If any request for an *ex parte* extension was filed by Opposer, and because no good cause for a lengthy extension request exists or could be alleged, such a request must be denied. If any such action(s) occurred, they occurred *ex parte*, and no notice by the Opposer was provided and the Board did not provide any notice of any decision, if any.

The Applicant's PN design mark is entitled to be registered and was approved to be published.

The mark of the application identified appears to be entitled to registration. The mark will, in accordance with Section 12(a) of the Trademark Act of 1946, as amended, be published in the Official Gazette on the date indicated above for the purpose of opposition by any person who believes he will be damaged by the registration of the mark. If no opposition is filed within the time specified by Section 13(a) of the Statute or by rules 2.101 or 2.102 of the Trademark Rules, the Commissioner of Patents and Trademarks may issue a notice of allowance pursuant to section 13(b) of the Statute.

Notice of Publication of Mar. 18, 2015, PN (Stylized/Design) mark, Ser. No. 86/256,711.

## **Significance of Publication for Opposition**:

\* Any party who believes it will be damaged by the registration of the mark may file a notice of opposition (or extension of time therefor) with the Trademark Trial and Appeal Board. If no party files an opposition or extension request within thirty (30) days after the publication date, then eleven (11) weeks after the publication date a notice of allowance (NOA) should issue.

backed startups and major global technology corporations." It therefore appears that Mr. Niedermeyer's PN trademark application, Serial Number 86/711,994, filed simultaneously with his Opposition, may constitute fraud upon the U.S.P.T.O.

| 1           | Trademark Official Gazette Publication Confirmation of April 7, 2015, PN   |
|-------------|--|
| 2           | (Stylized/Design) mark, Ser. No. 86/256,711 (emphasis in original).  |
| 3 4         | An opposition proceeding is commenced by filing in the Office a <i>timely</i> notice of opposition with the required fee.  |
| 5           | 37 C.F.R. § 2.101(a) (emphasis added).   |
| 6<br>7<br>8 | The opposition <i>must</i> be filed within thirty days after publication (§2.80) of the application being opposed or within an extension of time (§2.102) for filing an opposition.                                    |
| 9           | 37 C.F.R. § 2.101(c)(emphasis added).  |
| 10<br>11    | Any request to extend the time for filing an opposition must be filed before thirty days have expired from the date of publication or before the expiration of a previously granted extension of time, as appropriate. |
| 12<br>13    | 37 C.F.R. § 2.102(c).  |
| 14          | The time period for filing an opposition elapsed long ago, on May 7, 2015. No  |
| 15          | party filed an opposition within the opposition period.  |
| 16          | Objections To Any Requested Extension Of Time To Oppose And Motion For   |
| 17          | Reconsideration Of Any Decision Granting A Lengthy Extension Of Time To File Opposition  |
| 18<br>19    | No extension request to oppose was filed, the USPTO filing system did not note   |
| 20          | any such request, no ESTTA notice was provided, and Opposer did not notice any party   |
| 21          | as to any potential or filed extension request. No information or documents were sent to   |
| 22  <br>23  | the Applicant by either the Opposer or the Board showing any decision(s) or action(s)  |
| 24          | taken with respect to any extension request(s).  |
| 25<br>26    | Once the Board has acted upon a request for an extension of time to oppose, the Board will send the applicant a copy of the extension request together with the Board's action thereon.                                |
| 27<br>28    | MOTION TO STRIKE AND/OR DISMISS OPPOSITION AND, IF APPLICABLE, MOTION FOR RECONSIDERATION OF ANY EX PARTE ORDER  |

GRANTING A LENGTHY EXTENSION OF TIME TO

FILE OPPOSITION
Opposition No. 91223065

Trademark Trial and Appeal Board Manual of Procedure ("TBMP") 203.04 (Service).

The Board's action on the extension request will provide notice to the applicant of the extension request.

TBMP § 210 (Objections to Request). No notice of any filing by a potential Opposer or related decision by the Board was provided, and thus, if any action(s) were taken to request a lengthy extension, and such action(s) were granted by the Board, Applicant requests reconsideration of such a decision(s). Thus, as no notice of any kind was ever provided to the Applicant, Applicant objects, if applicable, to any filing of any lengthy extension request(s) by the Opposer and requests reconsideration of any potential granting of such a request by the Board. TBMP § 211.01 (Request for Reconsideration).

If the objections are received after action on the request, and the request has been granted, the objections will be treated as a request for reconsideration. . . . [A]n applicant that receives notification from the Board that an extension request has been filed and granted may submit objections in the form of a request for reconsideration.

Id.

Because the Opposer filed an Opposition on August 1, 2015, Applicant requests that the Board Strike or Dismiss the opposition for lack of jurisdiction. TBMP § 211.02 (Relief after Institution of Opposition).

Any lengthy extension request by a potential Opposer must assert good cause. 37 C.F.R. §2.102(c)(1) and (2).

A showing of good cause for an extension of time to oppose over thirty days *must* set forth the reasons why additional time is needed for filing an

opposition. Circumstances that may constitute good cause include *applicant's consent* to the extension, *settlement negotiations* between the parties, the filing of a *letter of protest* by the potential opposer, an *amendment* of the subject application, the *filing of a petition* to the Director from the grant or denial of a previous extension, and *civil litigation* between the parties.

TBMP § 207.02 (Extensions Up to 120 Days From the Date of Publication)(emphasis added). None of these circumstances were present or could have been alleged related to the instant application and opposition, and thus there could be no reasonable grounds for asserting good cause for a lengthy extension.<sup>2</sup>

It is clear from the federal regulations and their application in the TBMP that the 30 day automatic extension—effectively extending the deadline to 60 days upon request—is intended to encompass routine client communications, legal and factual investigation, and the drafting and filing of the opposition. This is supported by the fact that the Board's procedures incorporate and follow the federal rules of civil procedure, and application of the federal rules in similar circumstances, such as those that provide for a strict 28 day deadline for filing motions to amend judgment and 30 day deadline for the filing of appeals. *See* 37 CFR § 2.116(a)("Except as otherwise provided, and wherever applicable and appropriate, procedure and practice in *inter partes* proceedings shall be governed by the Federal Rules of Civil Procedure."); Fed. R. Civ. Pro. 59(e)("A motion to alter or amend a judgment must be filed no later than 28 days after the entry of the judgment."); Fed. R. Civ. Pro. 58(e)("Ordinarily, the entry of judgment may not

MOTION TO STRIKE AND/OR DISMISS OPPOSITION AND, IF APPLICABLE, MOTION FOR RECONSIDERATION OF ANY EX PARTE ORDER GRANTING A LENGTHY EXTENSION OF TIME TO FILE OPPOSITION Opposition No. 91223065

<sup>&</sup>lt;sup>2</sup> It is apparent that the actual basis for the extension the time requested was to create new business, mislead or falsify evidence, including evidence related to a mark's use in a nationwide line of commerce, or commit fraud on the U.S.P.T.O. with a new patent application filed on July 31, 2015, such as PN trademark application, Serial Number 86/711,994.

be delayed, nor the time for appeal extended . . . "); Fed. R. App. Pro. 4(a)(1)(A)("the notice of appeal required by Rule 3 must be filed with the district clerk within 30 days after entry of the judgment or order appealed from."). The failure to file such a pleading in the required timeframe causes any late filed pleading to be stricken or dismissed as the court lacks jurisdiction to hear such a motion or appeal. *Stephanie-Cardona LLC v. Smith's Food & Drug Ctrs., Inc.*, 476 F. 3d 701, 703 (9th Cir. 2007)(A timely notice of appeal is a non-waivable jurisdictional requirement."); *Sherman v. Quinn*, 668 F.3d 421, 424 (7th Cir. 2012)("A timely notice of appeal is a prerequisite to appellate review.").

Notably, the Federal Rules of Civil Procedure include a corresponding ability to extend the time to file a notice of appeal, if the motion for extension is filed within 30 days, *see* Fed. R. App. Pro. 4(a)(5)(A)(i), and, the "party shows excusable neglect or good cause." FRAP 4(a)(5)(A)(ii). The federal trademark regulations, however, make clear that they have analyzed FRAP 4(a)(5)(A)(ii) requirements and intend to limit requests for extension to the "good cause" requirement. 37 C.F.R. §2.102(c)(1) and (2). Also, similarly, the Federal Rules of Civil Procedure do not permit an extension for filing a notice of appeal greater than the 30 day extension to be granted after the date beginning the date for appeal—effectively extending the date for filing the notice of appeal to 60 days. FRAP 4(5)(C).

The interpretations of the differing standards of "good cause" and "excusable neglect" in the federal rules have been applied by federal courts:

The advisory committee note [regarding the 2002 amendments to Rule 4(a)(5)(A)(ii)] goes on to state that "[t]he good cause and excusable

neglect standards have 'different domains.' "Id. (quoting Lorenzen, 896 F.2d at 232). The relevant question is one of fault, as "[t]he excusable neglect standard applies in situations in which there is fault; in such situations, the need for extension is usually occasioned by something within the control of the movant." Id. On the other hand, the good cause standard "applies in situations in which there is no fault—excusable or otherwise." Id.

Sherman v. Quinn, 668 F.3d 421, 425 (7th Cir. 2012)(emphasis added). The "good cause" requirement cannot be met when "events leading to the late filing were in [a party's] control." *Id.* In applying this standard to the instant case, a potential opposer has notice that they must submit their Opposition within the 60 day window after publication, and the filing of the Opposition is entirely within the potential opposer's control.

In the instant case, the federal trademark rules do not provide for an "excusable neglect" standard that would excuse the failure to file within the original 30-day opposition period, or the automatic 60-day upon-request extension period. In any event, any motion for a lengthy extension (beyond 30 days) could not have met any "good cause" requirement, and the "excusable neglect" standard does not apply. Thus, any assertion that the Opposer relied upon some other party—including the Board—to provide it with the right or ability to file an opposition more than 60-days after the date of publication would not meet the "good cause" standard that is required. To be certain that an appeal can proceed, it is clear from all the authorities that the potential opposer has to file their opposition within 60 days after the mark's publication.

If an otherwise proper first extension request seeks an extension of ninety days, but does not include a showing of good cause for the time in excess

of thirty days, the potential opposer will be granted an extension of only thirty days.

*Id.* Therefore, if any lengthy extension were requested, the extension granted could only have been granted for a maximum of 30 days, and any such extension would have expired on June 6, 2015. A potential opposer has a duty to submit their opposition prior to any relevant extension request being awarded.

If any element (e.g., . . . showing of good cause, showing of extraordinary circumstances, . . .) essential to a particular request for extension of time to oppose is omitted from the request, the Board can allow the defect to be corrected only if the correction is made prior to the expiration of the time for filing the request, that is, prior to the expiration of the thirty-day opposition period following publication of the subject mark, in the case of a first request, or prior to the expiration of the previous extension, in the case of a request for a further extension.

TBMP § 208 (Essential Element Omitted). Therefore, as there exist no circumstances that could amount to good cause, no lengthy extension requests are permitted without good cause, a lengthy extension request without good cause is interpreted as requesting a 30 day extension, and no amendments to correct essential elements of any request are permitted, any requested lengthy extension must be denied. In conclusion, any extension, if applicable, would have expired on June 6, 2015.

Thus, as no timely Opposition has been filed, under the federal regulations, no opposition proceeding has "commenced" and the Board lacks jurisdiction to institute the Opposition. The Board is requested to strike or dismiss the untimely Opposition filed by PN, LLC on August 1, 2015.

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| 3   | Respectfully,   |
| 4   | Respectionly,   |
| 5   |   |
| 6   | By:/Scott A. Conwell/   |
| 7   | SCOTT A. CONWELL, ESQ.  |
| 8   | Conwell Law LLC   |
|     | 2411 Crofton Lane, Suite 2A   |
| 9   | Crofton, MD 21114   |
| 10  | Phone: 410-451-2707   |
|     | FAX: 410-451-2706   |
| 11  | Email: scott@conwellusa.com   |
| 12  | Attorney for Applicant/Defendant,<br>C2 Management Group LLC  |
| 13  |   |
| 14  |   |
| 15  | <b>CERTIFICATE OF SERVICE</b>   |
| 16  | I hereby certify that on <u>August 6, 2015</u> , a true and complete copy of the foregoing <b>Motion To Strike And/Or Dismiss Opposition And, If Applicable, Motion</b> |
| 17  | For Reconsideration Of Any Ex Parte Order Granting A Lengthy Extension Of   |
| 18  | <b>Time To File Opposition</b> has been served on the below opposing counsel/party of record by mailing said copy via First Class Mail, postage                         |
| 19  | prepaid to:   |
| 20  | Scott Austin  |
| 21  | VLP Law Group LLP<br>100NE Third Avenue, Suite 1500   |
| 22  | Fort Lauderdale, FL 33301<br>UNITED STATES  |
| 23  | saustin@vlplawgroup.com   |
| 24  | Counsel for Opposer/Plaintiff   |
| 25  |   |
| 26  | /Scott A. Conwell/<br>SCOTT A. CONWELL  |
| 27  | 9   |
| 28  | MOTION TO STRIKE AND/OR DISMISS OPPOSITION<br>AND, IF APPLICABLE, MOTION FOR<br>RECONSIDERATION OF ANY EX PARTE ORDER   |
| - 1 | RECURSIDERATION OF ANY EXPARTE URDER  |

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